

REPORT

CRISPR TECHNOLOGY

Nucleic acid detection with CRISPR-Cas13a/C2c2

Jonathan S. Gootenberg,^{1,2,3,4,5,*} Omar O. Abudayyeh,^{1,2,3,4,6,*} Jeong Wook Lee,⁷ Patrick Essletzbichler,^{1,2,3,4} Aaron J. Dy,^{1,4,8} Julia Joung,^{1,2,3,4} Vanessa Verdine,^{1,2,3,4} Nina Donghia,⁷ Nichole M. Daringer,⁸ Catherine A. Freije,^{1,9} Cameron Myhrvold,^{1,9} Roby P. Bhattacharyya,¹ Jonathan Livny,¹ Aviv Regev,^{1,10} Eugene V. Koonin,¹¹ Deborah T. Hung,¹ Pardis C. Sabeti,^{1,9,12,13} James J. Collins,^{1,4,6,7,8,†} Feng Zhang^{1,2,3,4,†}

Rapid, inexpensive, and sensitive nucleic acid detection may aid point-of-care pathogen detection, genotyping, and disease monitoring. The RNA-guided, RNA-targeting clustered regularly interspaced short palindromic repeats (CRISPR) effector Cas13a (previously known as C2c2) exhibits a “collateral effect” of promiscuous ribonuclease activity upon target recognition. We combine the collateral effect of Cas13a with isothermal amplification to establish a CRISPR-based diagnostic (CRISPR-Dx), providing rapid DNA or RNA detection with attomolar sensitivity and single-base mismatch specificity. We use this Cas13a-based molecular detection platform, termed Specific High-Sensitivity Enzymatic Reporter UnLOCKing (SHERLOCK), to detect specific strains of Zika and Dengue virus, distinguish pathogenic bacteria, genotype human DNA, and identify mutations in cell-free tumor DNA. Furthermore, SHERLOCK reaction reagents can be lyophilized for cold-chain independence and long-term storage and be readily reconstituted on paper for field applications.

The ability to rapidly detect nucleic acids with high sensitivity and single-base specificity on a portable platform may aid in disease diagnosis and monitoring, epidemiology, and general laboratory tasks. Although methods exist for detecting nucleic acids (1–6), they have trade-offs among sensitivity, specificity, sim-

licity, cost, and speed. Microbial clustered regularly interspaced short palindromic repeats (CRISPR) and CRISPR-associated (CRISPR-Cas) adaptive immune systems contain programmable endonucleases that can be leveraged for CRISPR-based diagnostics (CRISPR-Dx). Although some Cas enzymes target DNA (7, 8), single-effector RNA-

guided ribonucleases (RNases), such as Cas13a (previously known as C2c2) (8), can be reprogrammed with CRISPR RNAs (crRNAs) to provide a platform for specific RNA sensing (9–12). On recognition of its RNA target, activated Cas13a engages in “collateral” cleavage of nearby non-targeted RNAs (10). This crRNA-programmed collateral-cleavage activity allows Cas13a to detect the presence of a specific RNA in vivo by triggering programmed cell death (10) or in vitro by non-specific degradation of labeled RNA (10, 12). Here we describe Specific High-Sensitivity Enzymatic Reporter UnLOCKing (SHERLOCK), an in vitro nucleic acid-detection platform with attomolar sensitivity based on nucleic acid amplification

¹Broad Institute of MIT and Harvard, Cambridge, MA 02142, USA. ²McGovern Institute for Brain Research at MIT, Cambridge, MA 02139, USA. ³Department of Brain and Cognitive Science, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. ⁴Department of Biological Engineering, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. ⁵Department of Systems Biology, Harvard Medical School, Boston, MA 02115, USA. ⁶Department of Health Sciences and Technology, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. ⁷Wyss Institute for Biologically Inspired Engineering, Harvard University, Boston, MA 02115, USA. ⁸Institute for Medical Engineering and Science, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. ⁹Center for Systems Biology, Department of Organismic and Evolutionary Biology, Harvard University, Cambridge, MA 02138, USA. ¹⁰Department of Biology, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. ¹¹National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, Bethesda, MD 20894, USA. ¹²Department of Immunology and Infectious Disease, Harvard School of Public Health, Boston, MA 02115, USA. ¹³Howard Hughes Medical Institute, Chevy Chase, MD 20815, USA.

*These authors contributed equally to this work.

†Corresponding author. Email: zhang@broadinstitute.org (F.Z.); jimjc@mit.edu (J.J.C.)

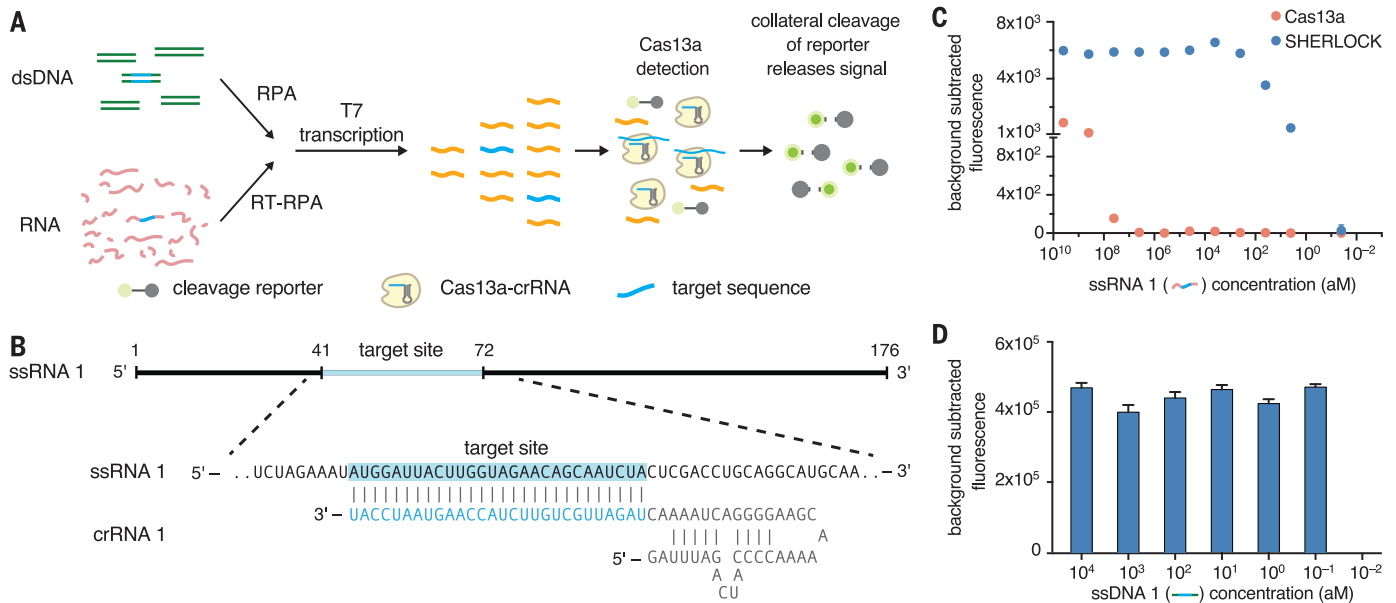


Fig. 1. SHERLOCK is capable of single-molecule nucleic acid detection. (A) Schematic of SHERLOCK. dsDNA, double-stranded DNA; RT-RPA, reverse transcriptase–RPA. (B) Schematic of ssRNA target detected with the Cas13a collateral detection. The target site is highlighted in blue. (C) Cas13a detection of RNA with RPA amplification (SHERLOCK) can detect ssRNA target at concentrations down to ~2 aM, more sensitive than Cas13a alone. $n = 4$ technical replicates; bars represent mean \pm SEM. (D) SHERLOCK is also capable of single-molecule DNA detection. $n = 4$ technical replicates; bars represent mean \pm SEM.

and Cas13a-mediated collateral cleavage of a reporter RNA (12), allowing for real-time detection of the target (Fig. 1A).

To achieve robust signal detection, we identified an ortholog of Cas13a from *Leptotrichia wadei* (LwCas13a), which displays greater RNA-guided RNase activity relative to *Leptotrichia shahii* Cas13a (LshCas13a) (10) (fig. S1). LwCas13a incubated with single-stranded RNA target 1 (ssRNA 1), crRNA, and reporter (quenched fluorescent RNA) (Fig. 1B) (13) yielded a detection sensitivity of ~ 50 fM (Fig. 1C and fig. S2). Although this sensitivity is an improvement on previous studies with Cas13a from *Leptotrichia bucallis* (12), attomolar sensitivity is required for many diagnostic applications (14–16). We therefore explored combining Cas13a-based detection with different isothermal amplification steps (figs. S3 and S4A) (17, 18). Of the methods explored, recombinase polymerase amplification (RPA) (18) afforded the greatest sensitivity and could be coupled with T7 transcription to convert amplified DNA to RNA for subsequent detection by LwCas13a. We refer to this combination of amplification by RPA, T7 RNA polymerase transcription of amplified DNA to RNA, and detection of target RNA by Cas13a collateral RNA cleavage-mediated release of reporter signal as SHERLOCK.

We first determined the sensitivity of SHERLOCK for detection of RNA (when coupled with reverse transcription) or DNA targets. We achieved single-molecule sensitivity for both RNA and DNA, as verified by digital-droplet polymerase chain reaction (ddPCR) (Fig. 1, C and D, and fig. S4, B and C). Attomolar sensitivity was maintained when we combined all SHERLOCK components in a single reaction, demonstrating the viability of this platform as a point-of-care diagnostic (fig. S4D). SHERLOCK has similar levels of sensitivity to those of ddPCR and quantitative PCR (qPCR), two established sensitive nucleic acid–detection approaches, whereas RPA alone was not sensitive enough to detect low levels of target (fig. S5, A to D). Moreover, SHERLOCK shows less variation than ddPCR, qPCR, and RPA, as measured by the coefficient of variation across replicates (fig. S5, E and F).

We next examined whether SHERLOCK would be effective in infectious disease applications that require high sensitivity. We produced lentiviruses harboring genome fragments of either Zika virus (ZIKV) or the related flavivirus dengue (DENV) (19) (Fig. 2A). SHERLOCK detected viral particles down to 2 aM and could discriminate between ZIKV and DENV (Fig. 2B). To explore the potential use of SHERLOCK in the field with paper spotting and lyophilization (1), we first demonstrated that Cas13a-crRNA complexes that were lyophilized and subsequently rehydrated (13) could detect 20 fM of nonamplified ssRNA 1 (fig. S6A) and that target detection was also possible on glass fiber paper (fig. S6B). The other components of SHERLOCK are also amenable to freeze-drying: RPA is provided as a lyophilized reagent at ambient temperature, and we previously demonstrated that T7 polymerase tolerates freeze-drying (2). In combination, freeze-drying and paper spotting the Cas13a detection reaction

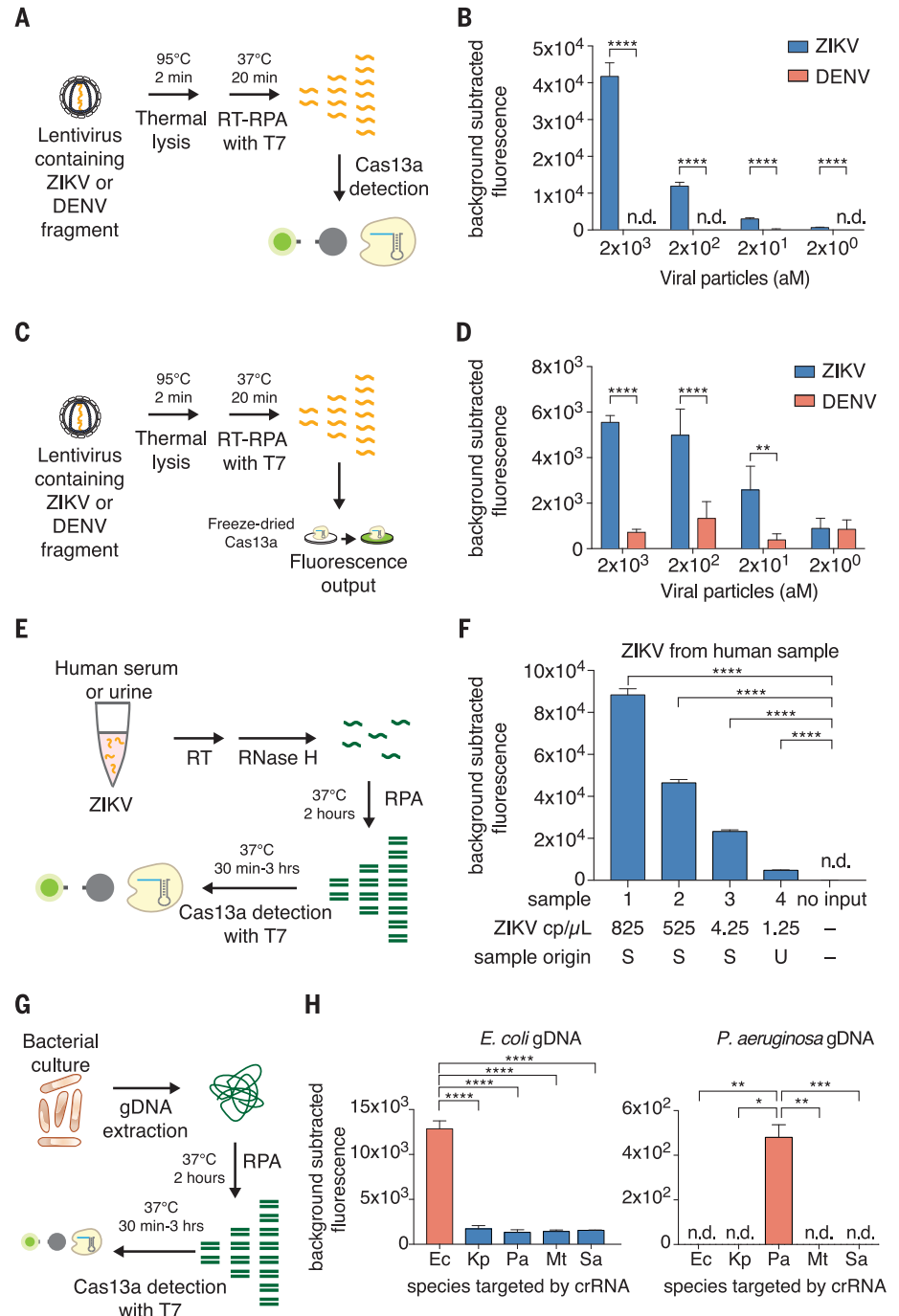


Fig. 2. Cas13a detection can be used to sense viral and bacterial pathogens. (A) Schematic of ZIKV RNA detection by SHERLOCK. (B) SHERLOCK is capable of highly sensitive detection of the ZIKV lentiviral particles. (C) Schematic of ZIKV RNA detection with freeze-dried Cas13a on paper. (D) Paper-based SHERLOCK is capable of highly sensitive detection of ZIKV lentiviral particles. (E) Schematic of SHERLOCK detection of ZIKV RNA isolated from human clinical samples. (F) SHERLOCK is capable of highly sensitive detection of human ZIKV-positive serum (S) or urine (U) samples. Approximate concentrations of ZIKV RNA shown were determined by qPCR. (G) Schematic of how SHERLOCK is used to distinguish bacterial strains with a universal 16S rRNA gene v3 RPA primer set. (H) SHERLOCK achieves sensitive and specific detection of *E. coli* or *P. aeruginosa* gDNA. Ec, *E. coli*; Kp, *K. pneumoniae*; Pa, *P. aeruginosa*; Mt, *Mycobacterium tuberculosis*; Sa, *Staphylococcus aureus*. (B, D, F, and H) $n = 4$ technical replicates, two-tailed Student's t test; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, and **** $P < 0.0001$; n.d., not detected; bars represent mean \pm SEM.

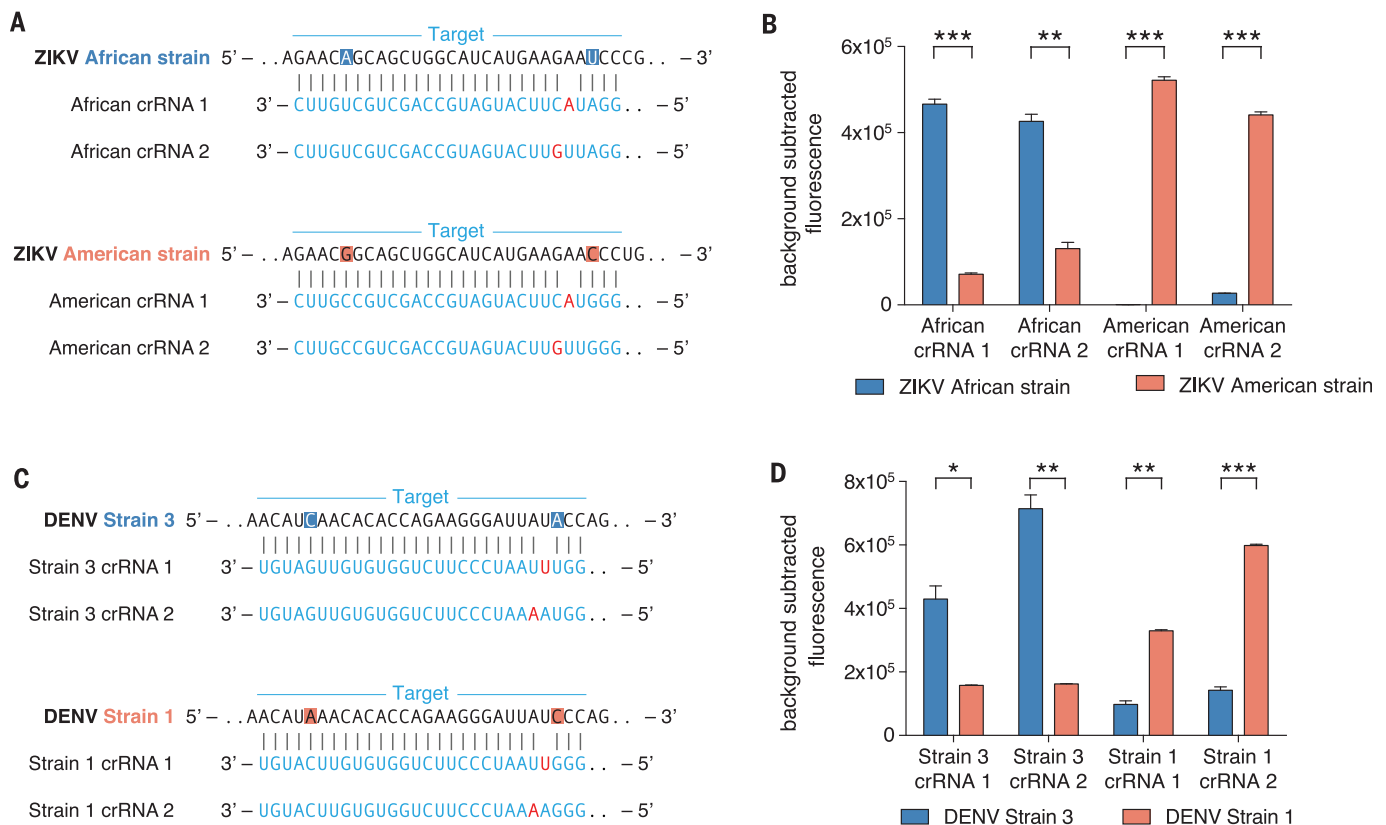


Fig. 3. Cas13a detection can discriminate between similar viral strains.

(A) Schematic of ZIKV strain target regions and the crRNA sequences used for detection. SNPs in the target are highlighted red or blue, and synthetic mismatches in the guide sequence are in red. (B) Highly specific detection of strain SNPs allows for the differentiation of ZIKV African versus American RNA targets with Cas13a. (C) Schematic of DENV strain target regions and the crRNA

sequences used for detection. SNPs in the target are highlighted red or blue, and synthetic mismatches in the guide sequence are in red. (D) Highly specific detection of strain SNPs allows for the differentiation of DENV strain 1 versus strain 3 RNA targets with Cas13a. (B and D) $n = 2$ technical replicates, two-tailed Student's t test; * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$; bars represent mean \pm SEM.

resulted in levels of sensitive detection of ssRNA 1 comparable to those of aqueous reactions (fig. S6, C to E). Although paper spotting and lyophilization slightly reduced the absolute signal of the readout, SHERLOCK (Fig. 2C) could readily detect mock ZIKV virus at concentrations as low as 20 aM (Fig. 2D).

SHERLOCK can also detect ZIKV in clinical isolates (serum or urine), where titers can be as low as 2×10^3 copies/ml (3.2 aM) (20). ZIKV RNA extracted from patient serum or urine samples and reverse transcribed into cDNA (Fig. 2E) could be detected at concentrations down to 1.25×10^3 copies/ml (2.1 aM), as verified by qPCR (Fig. 2F). Furthermore, the signal from patient samples was predictive of ZIKV RNA copy number and could be used to predict viral load (fig. S6F). To simulate sample detection without nucleic acid purification, we measured detection of ssRNA 1 spiked into human serum and found that Cas13a could detect RNA in reactions containing as much as 2% serum (fig. S6G).

Another important epidemiological application for CRISPR-Dx is the identification of bacterial pathogens and detection of specific bacterial genes. We targeted the V3 region of the 16S ribosomal RNA (rRNA) gene, where conserved flanking regions allow universal RPA primers to be used across bacterial

species and the variable internal region allows for differentiation of species. In a panel of five possible targeting crRNAs for different pathogenic strains and genomic DNA (gDNA) isolated from *Escherichia coli* and *Pseudomonas aeruginosa* (Fig. 2G), SHERLOCK correctly genotyped strains and showed low cross-reactivity (Fig. 2H). Additionally, we were able to use SHERLOCK to distinguish between clinical isolates of *Klebsiella pneumoniae* with two different resistance genes: *Klebsiella pneumoniae* carbapenemase (KPC) and New Delhi metallo- β -lactamase 1 (NDM-1) (21) (fig. S7).

To increase the specificity of SHERLOCK, we introduced synthetic mismatches in the crRNA: target duplex that enable LwCas13a to discriminate between targets that differ by a single-base mismatch (fig. S8, A and B). We designed multiple crRNAs with synthetic mismatches in the spacer sequences to detect either the African or American strains of ZIKV (Fig. 3, A and B) and strain 1 or 3 of DENV (Fig. 3, C and D). Synthetic mismatch crRNAs detected their corresponding strains with significantly higher signal (two-tailed Student's t test, $P < 0.01$) than the off-target strain, allowing for robust strain discrimination on the basis of single mismatches (Fig. 3, B to D, and fig. S8C). Further characterization revealed that Cas13a detection achieves maximal specificity while main-

taining on-target sensitivity when a mutation is in position 3 of the spacer and the synthetic mismatch is in position 5 (figs. S9 and S10).

The ability to detect single-base differences opens the opportunity for using SHERLOCK for rapid human genotyping. We chose five loci spanning a range of health-related single-nucleotide polymorphisms (SNPs) (table S1) and benchmarked SHERLOCK detection using genotyping data from 23andMe, a genetic testing company, as the "gold standard" at these SNPs (22) (Fig. 4A). We collected saliva from four human subjects with diverse genotypes across the loci of interest and extracted gDNA through either column purification or direct heating for 5 min (13). SHERLOCK distinguished alleles with high significance and with enough specificity to infer both homozygous and heterozygous genotypes (Fig. 4B and figs. S11 and S12).

Finally, we sought to determine if SHERLOCK could detect low-frequency cancer mutations in cell-free DNA (cfDNA) fragments, which is challenging because of the high levels of wild-type DNA in patient blood (23–25). We first found that SHERLOCK could detect ssDNA 1 at attomolar concentrations diluted in a background of gDNA (fig. S13A). Next, we found that SHERLOCK was also able to detect SNP-containing alleles

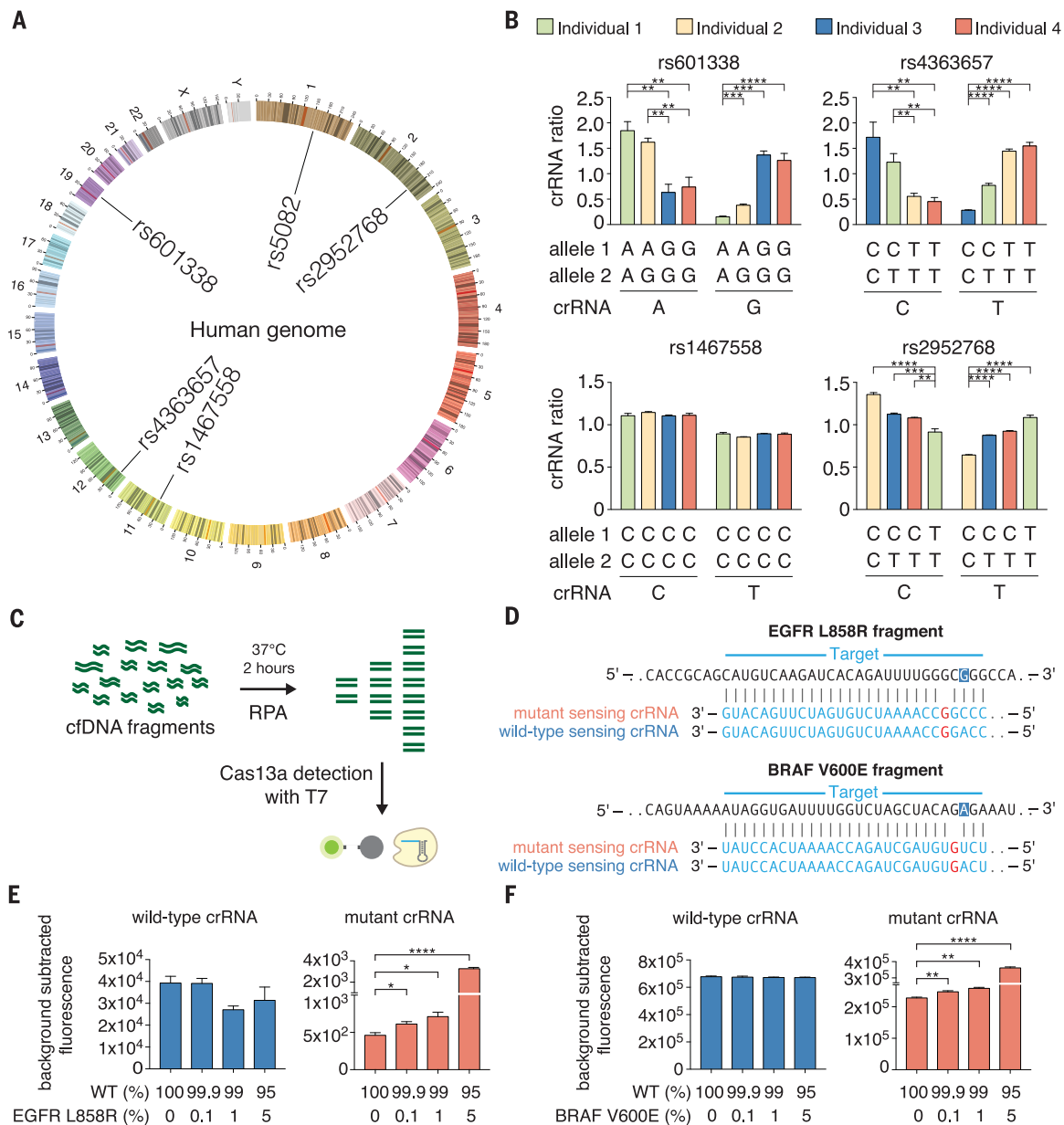


Fig. 4. SHERLOCK can discriminate SNPs for human genotyping and cell free–allele DNA detection. (A) Circos plot showing location of human SNPs detected with SHERLOCK. (B) SHERLOCK can correctly genotype four different individuals at four different SNP sites in the human genome. The genotypes for each individual and identities of allele-sensing crRNAs are annotated below each plot. (C) Schematic of cfDNA detection of cancer mutations using SHERLOCK. (D) Sequences of two genomic loci assayed for cancer

mutations in cfDNA. Shown are the target genomic sequences with the SNPs highlighted in blue and the mutant- and wild type–sensing crRNA sequences with synthetic mismatches in red. (E and F) Cas13a can detect the mutant minor allele in mock cfDNA samples for the EGFR L858R (E) or the BRAF V600E (F) minor allele. (B, E, and F) $n = 4$ technical replicates, two-tailed Student's t test; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, and **** $P < 0.0001$; bars represent mean \pm SEM.

(fig. S13, B and C) at levels as low as 0.1% of background DNA, which is in the clinically relevant range. We then demonstrated that SHERLOCK could detect two different cancer mutations, EGFR L858R (L, Leu; R, Arg) and BRAF V600E (V, Val; E, Glu), in mock cfDNA samples with allelic fractions as low as 0.1% (Fig. 4, C to F) (13).

The SHERLOCK platform lends itself to further applications, including (i) general RNA and DNA quantitation in lieu of specific qPCR assays, such as TaqMan; (ii) rapid, multiplexed RNA-expression detection; and (iii) other sensitive detection ap-

plications, such as detection of nucleic acid contamination. Additionally, Cas13a could potentially detect transcripts within biological contexts and track allele-specific expression of transcripts or disease-associated mutations in live cells. We have shown that SHERLOCK is a versatile, robust method that can rapidly detect single molecules of DNA or RNA, suitable for applications involving infectious disease and sensitive genotyping. A SHERLOCK paper test can be redesigned and synthesized in a matter of days for as low as \$0.61 per test (table S2) with confidence,

as almost every crRNA tested resulted in high sensitivity and specificity. These qualities highlight the power of CRISPR-Dx and open new avenues for rapid, robust, and sensitive detection of biological molecules.

REFERENCES AND NOTES

1. K. Pardee *et al.*, *Cell* **165**, 1255–1266 (2016).
2. K. Pardee *et al.*, *Cell* **159**, 940–954 (2014).
3. A. A. Green, P. A. Silver, J. J. Collins, P. Yin, *Cell* **159**, 925–939 (2014).
4. R. M. Kumar *et al.*, *Nature* **516**, 56–61 (2014).
5. M. Urdea *et al.*, *Nature* **444** (suppl. 1), 73–79 (2006).
6. Y. Du *et al.*, *Angew. Chem. Int. Ed.* **56**, 992–996 (2017).

7. B. Zetsche *et al.*, *Cell* **163**, 759–771 (2015).
8. S. Shmakov *et al.*, *Nat. Rev. Microbiol.* **15**, 169–182 (2017).
9. S. Shmakov *et al.*, *Mol. Cell* **60**, 385–397 (2015).
10. O. O. Abudayyeh *et al.*, *Science* **353**, aaf5573 (2016).
11. A. A. Smargon *et al.*, *Mol. Cell* **65**, 618–630.e7 (2017).
12. A. East-Seletsky *et al.*, *Nature* **538**, 270–273 (2016).
13. Materials and methods are available as supplementary materials.
14. R. Emmadi *et al.*, *J. Mol. Diagn.* **13**, 583–604 (2011).
15. L. Song *et al.*, *Anal. Chem.* **85**, 1932–1939 (2013).
16. J. M. Barletta *et al.*, *Am. J. Clin. Pathol.* **122**, 20–27 (2004).
17. J. Compton, *Nature* **350**, 91–92 (1991).
18. O. Piepenburg *et al.*, *PLOS Biol.* **4**, e204 (2006).
19. W. Dejnirattisai *et al.*, *Nat. Immunol.* **17**, 1102–1108 (2016).
20. G. Paz-Bailey *et al.*, *N. Engl. J. Med.* NEJMoa1613108 (2017).
21. N. Gupta *et al.*, *Clin. Infect. Dis.* **53**, 60–67 (2011).
22. N. Eriksson *et al.*, *PLOS Genet.* **6**, e1000993 (2010).
23. Z. Qin *et al.*, *Chin. J. Cancer* **35**, 36 (2016).
24. C. Bettegowda *et al.*, *Sci. Transl. Med.* **6**, 224ra24 (2014).
25. A. M. Newman *et al.*, *Nat. Med.* **20**, 548–554 (2014).

ACKNOWLEDGMENTS

We thank F. Chen, V. Rusu, R. Gupta, D. Daniels, C. Garvie, I. Finkelstein, V. Adalsteinsson, A. Das, E. S. Lander, R. Macrae, and R. Belliveau for discussions and support. Human genotyping data were collected with the informed consent of the subjects and in consent

with the guidelines of the approved Massachusetts Institute of Technology (MIT) institutional review board (IRB) protocol IRB-4062. O.O.A. is supported by a Paul and Daisy Soros Fellowship and a National Defense Science and Engineering Fellowship. J.S.G. is supported by a U.S. Department of Energy Computational Science Graduate Fellowship. R.P.B., J.L., and D.T.H. are supported by the NIH through a National Institute of Allergies and Infectious Diseases grant (R01AI117043). A.J.D. is supported by an NSF Graduate Research Fellowship and an Air Force Office of Scientific Research grant (FA9550-14-1-0060). Zika work was partially funded by M. and L. Benioff to P.C.S., and antibiotic resistance work was partially funded by J. and A. Bekenstein to D.T.H. A.R. is supported by the Howard Hughes Medical Institute. J.J.C. is supported by the Defense Threat Reduction Agency grant HDTRA1-14-1-0006, the Paul G. Allen Frontiers Group, and the Wyss Institute. F.Z. is a New York Stem Cell Foundation–Robertson Investigator. F.Z. is supported by the NIH through National Institute of Mental Health grants (5DP1-MH100706 and 1R01-MH110049); the NSF; the Howard Hughes Medical Institute; the New York Stem Cell, Simons, Paul G. Allen Family, and Vallee Foundations; and J. and P. Poitras, R. Metcalfe, and D. Cheng. A.R. is a member of the Scientific Advisory Board for ThermoFisher Scientific. J.S.G., O.O.A., R.P.B., A.R., E.V.K., D.T.H., P.C.S., J.J.C., and F.Z. have filed patent applications relating to the work in this manuscript, including J.S.G., O.O.A., E.V.K., and F.Z. on international application no. PCT/US2016/038258 filed 18 June 2015 (CRISPR-C2c2 systems and uses thereof); J.S.G., O.O.A., and F.Z. on U.S. provisional patent application no. 62/351,662 filed 17 June 2016 (CRISPR-C2c2 systems and diagnostic uses thereof); J.S.G., O.O.A., J.J.C., and F.Z. on U.S. provisional patent

application no. 62/432,553 filed 9 December 2016 (SHERLOCK diagnostic); J.S.G., O.O.A., P.C.S., J.J.C., and F.Z. on U.S. provisional patent application no. 62/471,917 filed 15 March 2017 (viral application of SHERLOCK); J.S.G., O.O.A., A.R., J.J.C., and F.Z. on U.S. provisional patent application no. 62/471,931 filed 15 March 2017 (mutation detection with SHERLOCK); J.S.G., O.O.A., R.P.B., D.T.H., J.J.C., and F.Z. on U.S. provisional patent application no. 62/471,936 filed 15 March 2017 (bacterial applications of SHERLOCK); and J.S.G., O.O.A., J.J.C., and F.Z. on U.S. provisional patent application no. 62/471,940 filed 15 March 2017 (devices). Each patent application relates to CRISPR-C2c2 systems, specific uses, and improved uses thereof for diagnostic application filed by Broad, Harvard, Massachusetts General Hospital, MIT, and NIH. Cas13a/C2c2 expression plasmids are available from Addgene under a Uniform Biological Material Transfer Agreement.

SUPPLEMENTARY MATERIALS

www.sciencemag.org/content/356/6336/438/suppl/DC1
Materials and Methods
Supplementary Text
Figs. S1 to S13
Tables S1 to S7
References (26–31)

6 February 2017; accepted 5 April 2017
Published online 13 April 2017
10.1126/science.aam9321



Nucleic acid detection with CRISPR-Cas13a/C2c2

Jonathan S. Gootenberg, Omar O. Abudayyeh, Jeong Wook Lee, Patrick Essletzbichler, Aaron J. Dy, Julia Joung, Vanessa Verdine, Nina Donghia, Nichole M. Daringer, Catherine A. Freije, Cameron Myhrvold, Roby P. Bhattacharyya, Jonathan Livny, Aviv Regev, Eugene V. Koonin, Deborah T. Hung, Pardis C. Sabeti, James J. Collins and Feng Zhang (April 13, 2017)
Science **356** (6336), 438-442. [doi: 10.1126/science.aam9321]
originally published online April 13, 2017

Editor's Summary

Sensitive and specific CRISPR diagnostics

Methods are needed that can easily detect nucleic acids that signal the presence of pathogens, even at very low levels. Gootenberg *et al.* combined the allele-specific sensing ability of CRISPR-Cas13a with recombinase polymerase amplification methods to detect specific RNA and DNA sequences. The method successfully detected attomolar levels of Zika virus, as well as the presence of pathogenic bacteria. It could also be used to perform human genotyping from cell-free DNA.

Science, this issue p. 438

This copy is for your personal, non-commercial use only.

Article Tools Visit the online version of this article to access the personalization and article tools:
<http://science.sciencemag.org/content/356/6336/438>

Permissions Obtain information about reproducing this article:
<http://www.sciencemag.org/about/permissions.dtl>

Science (print ISSN 0036-8075; online ISSN 1095-9203) is published weekly, except the last week in December, by the American Association for the Advancement of Science, 1200 New York Avenue NW, Washington, DC 20005. Copyright 2016 by the American Association for the Advancement of Science; all rights reserved. The title *Science* is a registered trademark of AAAS.